



SEMINAR

Government Enforcement and Corporate Compliance

Training for Day-to-Day Success and Game Planning for Crisis Response

June 27-28, 2013

The Westin Washington D.C. City Center
Washington, D.C.

IN-HOUSE AND EXPERT SPEAKERS INCLUDING

Richard Ben-Veniste

Mayer Brown LLP

Sonia G. Cudd

Green Mountain Coffee Roasters Inc.

Rusty Hardin

Rusty Hardin & Associates

Abbe D. Lowell

Chadbourne & Parke LLP

Sean McKessy

U.S. Securities and Exchange Commission

David T. Shapiro

DaVita Healthcare Partners Inc.

Brian T. Sumner

Alcoa Inc.

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DRI is proud to bring its inaugural **Government Enforcement and Corporate Compliance Seminar** to the nation's capital. Sweeping changes over the past decade have caused corporations, business executives, and in-house counsel to change the way they view their relationship with government agencies. For those who represent clients in today's business environment, this seminar offers timely and hard-hitting presentations by experts, addressing the ever-increasing risk of civil, regulatory, and criminal liability for corporations and those who run them. Presentations and panel discussions will cover a broad spectrum of substantive areas and offer perspectives from in-house and outside counsel, government prosecutors, and officials.



James W. Kraus
Program Chair, Government
Enforcement and Corporate
Compliance Committee Chair



Thomas E. "Ted" Dunlap
Program Vice Chair



Amy Mass
Corporate Counsel Committee Chair



K. Kristann Carey
Corporate Counsel Committee
Vice Chair



Todd Presnell
Law Institute

WHAT YOU WILL LEARN

- The current spectrum of government enforcement, including the potential for criminal liability to corporations and corporate executives
- The factors that influence when and how regulatory and statutory violations are prosecuted as crimes
- How the implementation of the SEC whistleblower program will affect public companies and the financial services industry
- Updates on key developments affecting regulated industries, including health care, pharmaceuticals, and medical device manufacturers
- Key decision points and processes for ethical decision-making by companies facing enforcement or responding to investigations
- Best practices for crisis management
- Important developments in securing electronic data and responding to demands for information

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PRESENTED BY **DRI's Government Enforcement and Corporate Compliance**
AND **Corporate Counsel Committees**

THIS SEMINAR BROCHURE IS CO-SPONSORED BY



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PROGRAM SCHEDULE

WEDNESDAY, JUNE 26, 2013

6:00 p.m. **Registration**6:00 p.m. **Networking Reception**

THURSDAY, JUNE 27, 2013

7:00 a.m. **Registration**7:00 a.m. **Continental Breakfast**8:00 a.m. **Welcome and Introduction****Todd Presnell**, *Bradley Arant Boult Cummings LLP*, Nashville, Tennessee**Amy Mass**, *Hanover Insurance Companies*, Howell, Michigan**James W. Kraus**, *Pietragallo Gordon Alfano Bosick & Raspanti LLP*, Pittsburgh, Pennsylvania8:15 a.m. **The Intersection of Corporate Governance and Criminal Law**

Our expert panel will review the statutory elements of the more common bases for criminal charges against corporations; the guidelines used by the Department of Justice (DOJ) in exercising its prosecutorial discretion; deferred and non-prosecution agreements; and sentencing for corporations and their officers. The panel will review circumstances where companies can be prosecuted both criminally and civilly, based on the same conduct and, discuss how to improve corporate compliance programs using the DOJ guidelines for charging corporate organizations.

MODERATOR | **Joseph D. Mancano**, *Pietragallo Gordon Alfano Bosick & Raspanti LLP*, Philadelphia, Pennsylvania

PANEL

Meredith S. Auten, *Morgan Lewis & Bockius LLP*, Philadelphia, Pennsylvania**Randall J. Bernard**, *Office of U.S. Attorney, Northern District of West Virginia*, Wheeling, West Virginia**Stephen S. Stallings**, *Burns White LLC*, Pittsburgh, Pennsylvania9:30 a.m. **Enforcement Spanning the Globe: Recent Developments in FCPA Enforcement**

Significant developments in Foreign Corrupt Practices Act enforcement include record setting precedent for the number of cases resolved, length of prison sentences, and the number of cases brought to trial. While the largest settlements reached under the Act have been with foreign companies, most of the more than 90 companies currently under investigation are believed to be American companies. Our panel will discuss these recent developments, including the *FCPA Guide* issued jointly by the DOJ and the SEC in November 2012.

MODERATOR | **Carlos F. Ortiz**, *Edwards Wildman Palmer LLP*, Madison, New Jersey

PANEL

Charles S. Leeper, *Drinker Biddle & Reath LLP*, Washington, D.C.**Glenn Leon**, *U.S. Department of Justice*, Washington, D.C.10:30 a.m. **Refreshment Break**10:45 a.m. **Keynote Address: Historical Lessons for the Investigator and the Investigated**

Richard Ben-Veniste's distinguished career has included service as chief of the Watergate Special Prosecutor's Task Force, chief counsel (minority) to the Senate Whitewater Committee, and as one of ten commissioners on the bipartisan 9-11 Commission. The author of two books, *Stonewall: The Real Story of the Watergate Prosecution* and *The Emperor's New Clothes: Exposing the Truth from Watergate to 9/11*, Mr. Ben-Veniste has the rare ability to bring these important events to life with the stories of the individuals who lived through these seminal events, the critical decisions they made, and the factors that made the difference between success and failure. His discussion will take these experiences and provide lessons for today's practitioner.

Richard Ben-Veniste, *Mayer Brown LLP*, Washington, D.C.

12:00 p.m. **Lunch (on your own)**

1:15 p.m. **“Upon Further Review”—Breaking Down the SEC Whistleblower Program**

Establishing a new whistleblower program as required by the Dodd-Frank Act, the U.S. Securities and Exchange Commission (SEC) issued its first whistleblower payments in August 2012. The program, designed to encourage those inside of public companies to disclose wrongful conduct to the SEC, has resulted in thousands of tips since its inception in August 2011. Our panel will discuss how the program has developed nearly one year after the first awards were paid, and how companies can best navigate through issues likely to arise under the new program.

MODERATOR | **Scott Coffina**, *Drinker Biddle & Reath LLP*, Philadelphia, Pennsylvania

PANEL

Sean McKessy, *U.S. Securities and Exchange Commission*, Washington, D.C.

Winifred M. Weitsen, *Venable LLP*, Washington, D.C.

2:30 p.m. **Refreshment Break**

2:45 p.m. **Health Care Fraud: Recent Developments and Likely Trends**

In 2012, the Department of Justice reaped over \$3 billion in False Claims Act settlements and judgments from health care providers alone, and health care related criminal prosecutions have climbed at similar speed. With full implementation of the Affordable Care Act in the next two years—and the regulatory and legal complexities that will follow—providers and their counsel will face a landscape even more fraught with pitfalls. A panel drawn from government, industry, and the defense bar will discuss legal and regulatory developments, government enforcement priorities, and best practices.

MODERATOR | **Ty E. Howard**, *Bradley Arant Boult Cummings LLP*, Nashville, Tennessee

PANEL

Zane David Memeger, *U.S. Attorney, Eastern District of Pennsylvania*, Philadelphia, Pennsylvania

David T. Shapiro, *DaVita HealthCare Partners Inc.*, Denver, Colorado

James G. Sheehan, *New York City Human Resources Administration*, New York, New York

4:00 p.m. **Someone’s Knocking at the Door—Ethical Considerations for In-House Counsel**

In a criminal or regulatory enforcement investigation, in-house lawyers must determine the extent of the investigation that must be conducted, how to conduct it, who the client is, whether disclosures need to be made, the scope and recipient of certain disclosures, and related privilege waiver concerns. This panel will discuss these and other ethical considerations that arise when the government opens an investigation of a company or its employees for potential criminal misconduct or regulatory noncompliance.

MODERATOR | **Susan Hackett**, *Legal Executive Leadership LLC*, Washington, D.C.

PANEL

Sonia G. Cudd, *Green Mountain Coffee Roasters Inc.*, Waterbury, Vermont

Alejandro J. Diaz, *Eaton Corporation*, Cleveland, Ohio

Brian T. Sumner, *Alcoa Inc.*, New York, New York

5:00 p.m. **Corporate Counsel and Government Enforcement and Corporate Compliance Committee Meetings** (*open to all*)

6:00 p.m. **Networking Reception**

7:30 p.m. **Dine-Arounds** | Join colleagues and friends at selected restaurants for dinner (*on your own*). *More details on-site.*

FRIDAY, JUNE 28, 2013

7:00 a.m. **Registration**

7:00 a.m. **Continental Breakfast**

7:00 a.m. **In-House to In-House Breakfast** (*for in-house counsel only*)

SPONSORED BY **DRI’s Corporate Counsel Committee**

8:00 a.m. **Announcements**

Thomas E. “Ted” Dunlap, *RTI Group LLC*,
Annapolis, Maryland

8:15 a.m. **Hot Topics and Enforcement Trends:
Pharmaceutical and Medical Device Industries**

Since 2000, the government has collected over \$10 billion in criminal fines and civil penalties related to off-label marketing, government price reporting, and kickback violations. Although industry has stepped up compliance programs across the board, the government continues to make health care fraud enforcement in the pharmaceutical and medical device industries a top priority. This panel will discuss recent enforcement actions and developing trends in these industries, the impact of the Second Circuit’s decision in *U.S. v. Caronia*, the use and limitations of executive “clawback” as a compliance tool, and data mining.

MODERATOR | **José P. Sierra**, *Fish & Richardson*,
Boston, Massachusetts

PANEL

Jack E. Fernandez, Jr., *Zuckerman Spaeder LLP*,
Tampa, Florida

Paul Kaufman, *U.S. Attorney’s Office, Eastern
District of New York*, New York, New York

Enu A. Mainigi, *Williams & Connolly LLP*,
Washington, D.C.

Jonathan N. Provoost, *Ikaria Inc.*,
Hampton, New Jersey

10:00 a.m. **Refreshment Break**

10:15 a.m. **Navigating the Storm: Crisis
Management Techniques**

A crisis involves a significant threat to the organization, the element of surprise, and a short time for response or decision by the organization and its in-house and outside counsel. This dynamic session brings together an all-star panel of attorneys, executives, and a renowned expert in crisis management to discuss the legal and public relations side of crisis management. Discussion will include real examples and lessons learned from their rich experiences in handling high-profile cases in crisis.

MODERATOR | **Judy A. Smith**, *Smith & Company*,
Washington, D.C.

PANEL

Benjamin Brafman, *Brafman & Associates PC*,
New York, New York

Michael K. Callahan, *Northeast Utilities*,
Boston, Massachusetts

Rusty Hardin, *Rusty Hardin & Associates*,
Houston, Texas

Abbe David Lowell, *Chadbourne & Parke LLP*,
Washington, D.C.

12:00 p.m. **Data Wars: Securing Your Cyber-Footprint
and Staying Ahead of the Curve**

For companies large and small, the challenge of managing and using electronic data becomes greater every day. For those advising or representing corporations and executives, the standard has never been higher for navigating a multitude of intersecting and sometimes competing concerns, including document retention and destruction, cyber security, e-discovery, criminal procedure, and individual rights. Our diverse panel will discuss recent developments in the legal concepts that govern these duties, as well as the technological advances and best practices that can aid in meeting this challenge.

MODERATOR | **Jonathan N. Rosen**,
Polsinelli Shugart PC, Washington, D.C.

PANEL

Brian E. Burgess, *RTI Group LLC*,
Anapolis, Maryland

The Honorable Joy Flowers Conti,
*U.S. District Court, Western District of
Pennsylvania*, Pittsburgh, Pennsylvania

John A. Schwab, *Pietragallo Gordon Alfano
Bosick & Raspanti LLP*, Pittsburgh, Pennsylvania

1:00 p.m. **Adjourn**

FACULTY

Meredith S. Auten, partner in Morgan Lewis & Bockius LLP's litigation practice, is a member of the firm's white collar litigation and government investigations practice. Ms. Auten represents corporations and individuals in all aspects of white collar litigation, including allegations of health care fraud, procurement fraud, theft of trade secrets, and intellectual property violations, and antitrust violations.

Richard Ben-Veniste, a partner at Mayer Brown LLP in Washington, D.C., focuses on complex civil litigation and white collar criminal cases. He advises organizations and individuals involved in congressional investigations in a range of complex and sensitive areas. Mr. Ben-Veniste has served as chief of the Watergate Special Prosecutor's Task Force and chief counsel (minority) of the Senate Whitewater Committee.

Randolph J. Bernard is the deputy criminal chief in the U.S. Attorney's Office for the Northern District of West Virginia in Wheeling. He has experience prosecuting a range of criminal cases, including drugs and violent crimes, firearms, white collar offenses, fraud and tax cases, and child pornography cases. Previously, he served as a special agent with the FBI.

Benjamin Brafman is a criminal defense attorney and the founder of the Manhattan-based firm Brafman & Associates PC. Mr. Brafman is known for representing many high-profile defendants, including celebrities, accused Mafia members, and political figures. Previously, he was an assistant district attorney in Manhattan.

Brian E. Burgess of RTI Group LLC in Annapolis, Maryland, is a seasoned IT professional with over 15 years' experience in the field. His diverse background includes cyber-forensics, e-discovery methodology and implementation, network and security management, and planning design and analysis. Previously, he served as chief technology officer for a multi-million dollar technology consultancy.

Michael K. Callahan is the director of litigation and dispute resolution for Northeast Utilities in Boston, New England's largest utility system serving more than 3.5 million electric and natural gas customers. Mr. Callahan oversees all litigation for Northeast Utilities and its subsidiaries. Previously, he was assistant general counsel for NSTAR Electric & Gas.

K. Kristann Carey, senior counsel at McDonald's Corporation in Oak Brook, Illinois, is responsible for overseeing tort litigation on behalf of McDonald's Corporation and its subsidiaries in the central United States. Ms. Carey is the vice chair of DRI's Corporate Counsel Committee.

Scott Coffina is a partner in the Drinker Biddle & Reath LLP's white collar defense and corporate investigations group in Philadelphia and Washington, D.C., and a former assistant United States attorney and associate counsel to former president George W. Bush. He focuses on internal investigations, False Claims Act litigation, political-legal controversies, and other criminal, civil, and regulatory enforcement actions.

The Honorable Joy Flowers Conti has served as district court judge in the U.S. District Court for the Western District of Pennsylvania since 2002. Prior to her appointment, Judge Conti was in private practice, focusing her practice on bankruptcy, health care, and corporate law.

Sonia G. Cudd is the vice president, associate general counsel—corporate of Green Mountain Coffee Roasters Inc. in Waterbury, Vermont. Formerly, she focused on advising public companies and their boards regarding compliance with the federal securities laws, corporate governance practices, and fiduciary duty law. Ms. Cudd has assisted in developing strategies for defending against claims arising under the securities and antitrust laws.

Alejandro J. Diaz is the director of global compliance at Eaton Corporation in Cleveland, Ohio. Mr. Diaz implements and manages the global compliance program. Previously, he served as vice president and chief ethics and compliance officer for Coca-Cola Enterprises Inc.

Thomas E. "Ted" Dunlap is the director of client relations at RTI Group LLC in Annapolis, Maryland. Previously, Mr. Dunlap was in private practice in Maryland, where he concentrated his practice on civil litigation and coverage matters, assisting clients with maritime, aviation, product liability, general liability, commercial, and construction issues.

Jack E. Fernandez is a partner at Zuckerman Spaeder LLP in Tampa, Florida. Mr. Fernandez advises clients in high-stakes civil and criminal litigation, principally in the health care and government contracting industries. He has tried dozens of criminal jury and bench trials in federal court, and has argued numerous civil and criminal appeals in the U.S. Court of Appeals for the Eleventh Circuit.

Susan Hackett is chief executive officer and chief legal officer for Legal Executive Leadership LLC in Washington, D.C. She formerly served as general counsel of the Association of Corporate Counsel, and is recognized for conceiving and executing the ACC Value Challenge, developing networks and benchmarking practices for CLOs and law department executives.

utives, and for leading efforts to protect the attorney-client privilege in corporate practice.

Rusty Hardin founded Rusty Hardin & Associates PC in Houston in 1991. His practice has been a diverse mix of civil and criminal trial work at the state and federal levels. Previously, Mr. Hardin served as an assistant district attorney in Houston for over 15 years. During that period, he never lost a felony jury trial, tried 14 death penalty cases, and was renowned for his cross-examination skills in celebrated cases.

Ty E. Howard is a partner in the white collar crime defense and health care groups of Bradley Arant Boult Cummings LLP in Nashville. He focuses on internal investigations, corporate compliance, and qui tam and white collar defense. Mr. Howard has over a decade's experience handling internal and government investigations and complex white collar cases, in private practice and as a prosecutor.

Paul Kaufman is the chief of civil health care fraud in the U.S. Attorney's Office for the Eastern District of New York in Brooklyn. He works with federal and state law enforcement personnel to develop and investigate health care fraud cases, and he supervises the litigation. An assistant U.S. attorney since 1996, Mr. Kaufman has resolved numerous health care fraud cases on behalf of the United States.

James W. Kraus is a partner in the Pittsburgh office of Pietragallo Gordon Alfano Bosick & Raspanti LLP and vice chair of the white collar criminal defense practice group. He focuses on white collar criminal defense and internal investigations, health care litigation, and financial services litigation. He is the chair of DRI's Government Enforcement and Corporate Compliance Committee and program chair of this seminar.

Charles S. Leeper is the head of Drinker Biddle & Reath LLP's white collar criminal defense and corporate investigations team in Washington, D.C., and serves as a managing partner. His practice focuses on the defense of white collar criminal investigations and prosecutions, congressional inquiries, and related civil proceedings. Mr. Leeper successfully defended his client, an attorney, in the four-month long trial of FCPA conspiracy and substantive charges in *U.S. v. Goncalves, et al.*

Glenn S. Leon is an assistant chief of the Securities and Financial Fraud Unit in the Fraud Section of the Department of Justice. From 1998 to 2011, he served as an assistant United States attorney in the District of Columbia, where he prosecuted criminal cases in the Fraud and Public Corruption Section for many years.

Abbe David Lowell is the head of Chadbourne & Parke LLP's litigation department in Washington, D.C., and chair of the firm's white collar defense, regulatory investigations, and litigation group. One of the nation's leading white collar defense and trial attorneys, he focuses on litigation, special investigations, and regulatory enforcement. Mr. Lowell has represented numerous high-profile clients.

Enu A. Mainigi, a partner with Williams & Connolly LLP in Washington, D.C., has extensive experience in complex civil and criminal litigation representing corporations and individuals under investigation by the government, either criminally or in the context of the False Claims Act. She has defended numerous health care companies, PBMs, pharmaceutical companies, hospitals, nursing homes, accounting firms, and major executives.

Joseph D. Mancano is a partner with Pietragallo Gordon Alfano Bosick & Raspanti LLP's Philadelphia, office, where he serves as chair of the white collar criminal defense group and is a member of the firm's litigation, practice group. He represents clients in a range of complex criminal and civil cases, including antitrust, RICO, bank fraud, securities, health care, and tax matters.

Amy Mass is senior counsel for the Hanover Insurance Group in the Office of General Counsel in Howell, Michigan. She is responsible for property and casualty legal support, government affairs, and regulatory matters for the Midwest region. Ms. Mass is the chair of DRI's Corporate Counsel Committee.

Sean McKessy leads the U.S. Securities and Exchange Commission's Office of the Whistleblower, which is charged with working with whistleblowers, handling their tips and complaints, and helping the commission determine their awards after successful enforcement actions. Mr. McKessy was a senior counsel in the SEC Enforcement Division from 1997–2000, and more recently served as corporate secretary for Altria Group and AOL.

Zane David Memeger is the United States attorney for the Eastern District of Pennsylvania in Philadelphia. He investigates and prosecutes a variety of cases, including organized crime, government fraud, financial institution fraud, violent crimes, narcotics, extortion, and commercial bribery. He received several commendations from FBI Director Robert S. Mueller III for investigating and prosecuting cases that involved public corruption and violations of the Hobbs Act.

Carlos F. Ortiz has recently joined Edwards Wildman Palmer LLP in Madison, New Jersey. His extensive white collar experience and a background as a federal prosecutor give him

a keen appreciation of the issues facing organizations and individuals when confronted with matters relating to the Foreign Corrupt Practices Act, allegations of fraud against government agencies and financial institutions, securities and health care fraud, and high risk tax controversies.

Todd Presnell is a partner in the Nashville office of Bradley Arant Boult Cummings LLP. His practice focuses on the representation of businesses in commercial and employment litigation. Mr. Presnell has contributed articles to *For The Defense* and is a member of DRI's Law Institute. Mr. Presnell is a member of the IADC and is listed in the 2008–2013 editions of *Best Lawyers in America*.

Jonathan N. Provoost is the associate general counsel and chief compliance officer for Icaria Inc. in Hampton, New Jersey, a biopharmaceutical company specializing in critical care. Mr. Provoost is responsible for the management of all legal department activities associated with commercial compliance, regulatory matters and intellectual property, including acquisition, management, and litigation.

Jonathan N. Rosen, a shareholder in Polsinelli Shugart PC's Washington, D.C., office, represents corporate and individual clients in complex white collar matters. Formerly, he was a federal and state prosecutor, handling cases involving public corruption, government procurement fraud, the Foreign Corrupt Practices Act, health care fraud, corporate and securities fraud, export control violations, antitrust violations, violations of the Bank Secrecy Act, and money laundering.

John A. Schwab is a senior litigation associate with Pietragallo Gordon Alfano Bosick & Raspanti LLP, practicing in the areas of white collar criminal defense, investigations, and compliance. His practice includes an emphasis on electronic discovery and the impact of technology in civil and white collar criminal cases. Mr. Schwab has previously served as a trial attorney in the U.S. Marine Corps.

David T. Shapiro is the chief special counsel for DaVita Healthcare Partners Inc. in Denver, a health care company that provides life sustaining dialysis services to patients across the country. Previously, Mr. Shapiro practiced law, representing clients in the health care industry. He served as a trial attorney with the Civil Frauds Section of the DOJ, during which time he was recognized with the Award of Excellence from the GSA Office of Inspector General.

James G. Sheehan is the chief integrity officer and special deputy commissioner for the New York City Human Resources Administration. Mr. Sheehan has had direct oversight over the Investigation, Revenue and Enforcement

Administration and the Office of Audit Services. He uses his experience with Medicaid integrity to build on the agency's record of cost avoidance and recoveries from fraud investigations. His record of accomplishments and experience includes investigative techniques and methods used in detecting and preventing fraud.

José P. Sierra is a principal in the Boston office of Fish & Richardson PC. He works in the firm's pharmaceutical and medical device industry practices, focusing on qui tam/whistleblower litigation, white collar and government investigations, corporate governance, and risk management. Previously, Mr. Sierra was responsible for building and managing the compliance program as senior vice president, chief compliance and ethics officer for Sepracor.

Judy A. Smith is the founder and president of Smith & Company, a leading strategic and crisis communications firm with offices in Washington D.C., and Los Angeles. Over the last 25 years, Ms. Smith has brought her unique combination of communication skills, media savvy, and legal and political acumen to clients facing an array of issues and challenges throughout the nation and abroad, including the Iran Contra investigation.

Stephen S. Stallings is the chair of Burns White LLC's white collar and government enforcement practice group in Pittsburgh. An experienced trial lawyer, he focuses on white collar defense, commercial and securities litigation, false claims act/qui tam matters, corporate compliance, and internal investigations, utilizing more than two decades of public and private experience. A former assistant United States attorney for the U.S. Department of Justice, he prosecuted white collar and public corruption matters.

Brian T. Sumner is counsel at Alcoa Inc. in New York City, where he handles compliance matters, government investigations and related civil litigation, and corporate governance issues. Prior to joining Alcoa, Mr. Sumner worked for a law firm in Washington, D.C., representing public and private companies, accounting firms, and their leaders in government investigations.

Winifred M. Weitsen, partner in Venable LLP's SEC and white collar defense group in Washington, D.C., defends companies and corporate executives facing criminal investigations, and civil and regulatory government enforcement actions. She has represented clients in matters involving antitrust, the Foreign Corrupt Practices Act, and health care and government contracts fraud.

GENERAL INFORMATION

CLE/CLAIMS ADJUSTERS ACCREDITATION

This seminar has been approved for CLE credit by the State Bar of California in the amount of **12.5** hours, including **1** hour of ethics credit. Accreditation has been requested from every state with mandatory continuing legal education (CLE) requirements. Certificates of attendance will be provided to each attendee. Attendees are responsible for obtaining CLE credits from their respective states. **Application has been made for continuing education for claims adjusters.** Credit availability and requirements vary from state to state; please check the DRI website at dri.org for the latest information for your state.

REGISTRATION

The registration fee is **\$745** for members and those who join DRI when registering and **\$975** for nonmembers. The registration fee includes course materials, continental breakfasts, refreshment breaks and networking receptions. If you wish to have your name appear on the registration list distributed at the conference and receive the course materials in advance, DRI must receive your registration by **June 7, 2013** (*please allow 10 days for processing*). Registrations received after **June 7, 2013**, will be processed on-site.

REFUND POLICY

The registration fee is fully refundable for cancellations received on or before **June 7, 2013**. Cancellations received after **June 7** and on or before **June 14, 2013**, will receive a refund, less a \$100 processing fee. Cancellations made after **June 14** will not receive a refund, but the course materials on CD-ROM and a \$100 certificate good for any DRI seminar within the next 12 months will be issued. All cancellations and requests for refunds must be made in writing. Fax (312.795.0747) or email (seminars@dri.org) to DRI's Accounting Department. All refunds will be mailed within four weeks after the date of the conference. Substitutions may be made at any time without charge and must be submitted in writing.

HOTEL ACCOMMODATIONS

A limited number of discounted hotel rooms have been made available at the **Westin Washington, D.C. City Center, 1400 M Street, NW, Washington, D.C. 20005**. For reservations, visit dri.org and go to the **Government Enforcement and Corporate Compliance Seminar** page or **contact the hotel directly at 202.429.1700**. Please mention **DRI's Government Enforcement and Corporate Compliance Seminar** to take advantage of the group rate of **\$269 Single/Double**. The hotel block is limited and rooms and rates are available on a first-come, first-served basis. You must make reservations by **May 28, 2013**, to be eligible for the group rate. Requests for

reservations made after **May 28** are subject to room and rate availability.

SPECIAL DISCOUNTS

Group Discount

The first and second registrations from the same firm or company are subject to the fees outlined previously. The registration fee for additional registrants from the same firm or company is **\$695**, regardless of membership status. All registrations must be received at the same time to receive the discount.

In-House Counsel

In-house counsel are eligible for free registration to DRI seminars. In-house counsel are defined as licensed attorneys, who are employed exclusively by a corporation or other private sector organization for the purpose of providing legal representation and counsel only to that corporation, its affiliates and subsidiaries. In order to qualify for free registration, the individual must also be a DRI member and a member of DRI's Corporate Counsel Committee. Offer excludes the DRI Annual Meeting.

Claims Executives

Any member of DRI employed as a claims professional by a corporation or insurance company, who spends a substantial portion of his or her professional time hiring or supervising outside counsel in the representation of business, insurance companies or their insureds, associations or governmental entities in civil litigation, will be entitled to free attendance at any DRI program. Nonmember claims executives should contact DRI's Customer Service at 312.795.1101 for details. Offer excludes DRI Annual Meeting.

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Speakers and times may be subject to last-minute changes.

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2013 SEMINAR SCHEDULE

April 10–12	Insurance Coverage and Claims Institute <i>Swissôtel Chicago</i> , Chicago, IL	June 20–21	Young Lawyers <i>The Cosmopolitan of Las Vegas</i> , Las Vegas, NV
April 24–26	Life, Health, Disability and ERISA Claims <i>The Westin Copley Place</i> , Boston, MA	June 27–28	Government Enforcement and Corporate Compliance <i>The Westin Washington, D.C. City Center</i> , Washington, DC
May 1–3	Employment and Labor Law <i>Arizona Biltmore</i> , Phoenix, AZ	July 25–26	Class Actions <i>The Willard Washington, D.C.</i> , Washington, DC
May 9–10	Business Litigation <i>InterContinental Chicago Magnificent Mile</i> , Chicago, IL	September 19–20	Nursing Home/AL Litigation <i>The Westin Kierland</i> , Scottsdale, AZ
May 9–10	Intellectual Property Litigation <i>InterContinental Chicago Magnificent Mile</i> , Chicago, IL	September 19–20	Strictly Automotive <i>The Dearborn Inn, A Marriott Hotel</i> , Dearborn, MI
May 16–17	Drug and Medical Device <i>Sheraton New York Times Square Hotel</i> , New York, NY	September 26–27	Construction Law <i>The Cosmopolitan of Las Vegas</i> , Las Vegas, NV
May 16–17	Retail and Hospitality Litigation and Claims Management <i>InterContinental Chicago Magnificent Mile</i> , Chicago, IL	October 16–20	DRI Annual Meeting <i>Sheraton Chicago Hotel & Towers</i> , Chicago, IL
May 30–31	Diversity for Success <i>Swissôtel Chicago</i> , Chicago, IL	November 7–8	Asbestos Medicine <i>New Orleans Marriott</i> , New Orleans, LA
June 6–7	Insurance Bad Faith and Extra-Contractual Liability <i>The Westin Boston Waterfront</i> , Boston, MA	November 14–15	Sexual Torts <i>The Westin San Diego</i> , San Diego, CA
June 12	Global Arbitration Summit <i>Prague Marriott Hotel</i> , Prague, Czech Republic	December 12–13	Insurance Coverage and Practice <i>Sheraton New York Times Square Hotel</i> , New York, NY
June 13–14	Hot Topics in International Dispute Resolution <i>Prague Marriott Hotel</i> , Prague, Czech Republic	December 12–13	Professional Liability <i>Sheraton New York Times Square Hotel</i> , New York, NY

Government Enforcement and Corporate Compliance Seminar

June 27-28, 2013

The Westin Washington, D.C. City Center | Washington, D.C.

For inclusion on the preregistration list and to receive course materials in advance, **register by June 7, 2013**

FORMAL NAME _____ TITLE _____

NAME (as you would like it to appear on badge) _____

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Please list any special needs _____

How many attorneys _____ What is your primary
are in your firm? _____ area of practice? _____

REGISTRATION FEE

Registration fee includes seminar attendance, networking events, and course materials. DRI will email a link to download the course materials to all registrants two weeks in advance of the seminar. The CD will be included in the registration packet on-site. You can order additional copies by checking the appropriate box below or going online at dri.org.

- Member \$745
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